

# CONFIDENTIAL



GOVERNMENT OF THE REPUBLIC OF TRINIDAD AND TOBAGO

## FINANCIAL INTELLIGENCE UNIT OF TRINIDAD AND TOBAGO

MINISTRY OF FINANCE

### FOR OFFICIAL USE ONLY

Form ID No.	FIU-001
caseKconnect® Registration No.	

### caseKconnect® (e-filing) REGISTRATION FORM FOR COMPLIANCE OFFICERS

An Applicant applying to be registered in the caseKconnect® (e-filing) system either as a Compliance Officer or an Alternate Compliance Officer with the Financial Intelligence Unit of Trinidad and Tobago ("the FIUTT"), must complete **BOTH** sections of this registration form. Please sign and upload to caseKconnect®.

An Applicant who has been approved as a Compliance Officer or Alternate Compliance Officer by a Supervisory Authority other than the FIUTT e.g. the Central Bank of Trinidad and Tobago or the Trinidad and Tobago Securities and Exchange Commission must append a letter of approval and/or appointment from the said Supervisory Authority and a valid form of picture identification issued by the Government of Trinidad and Tobago.

Level 25, Tower D, International Waterfront Complex, 1A Wrightson Road, Port of Spain, Trinidad and Tobago, West Indies

Tel: 1 (868) 612-4FIU (4348)

Email: [fiutt@gov.tt](mailto:fiutt@gov.tt)

Website: [www.fiu.gov.tt](http://www.fiu.gov.tt)

**SECTION 1: PARTICULARS OF THE FINANCIAL INSTITUTION OR LISTED BUSINESS**

<b>Name of Financial Institution or Listed Business<sup>1</sup>:</b>	
<b>Address (line I):</b>	
<b>Address (line II):</b>	
<b>Address (line III):</b>	
<b>Address (line IV):</b>	
<b>Telephone I:</b>	
<b>Telephone II:</b>	
<b>Facsimile:</b>	
<b>E-mail:</b>	
<b>Website:</b>	
<b>FIUTT Registration No. (if registered with the FIUTT)<sup>2</sup>:</b>	
<b>Nature of Business activity:</b>	

**SECTION 2: PARTICULARS OF THE APPLICANT [COMPLIANCE OFFICER OR ALTERNATE COMPLIANCE OFFICER]**

<b>Title (Mr, Miss, Ms, Mrs):</b>		
<b>First Name:</b>		
<b>Other names:</b>		
<b>Last Name:</b>		
<b>Gender:</b>		
<b>Position at Financial Institution/ Listed Business:</b>		
<b>Telephone (Direct):</b>		<b>Extension:</b>
<b>Telephone (Mobile) (Optional):</b>		
<b>E-mail:</b>		
<b>Mailing Address (if different from Section 1 above):</b>		
<b>Attachments:</b>	<b>CBTT approval:</b> <input type="checkbox"/> <b>TTSEC approval:</b> <input type="checkbox"/> <b>Valid Picture ID:</b> <input type="checkbox"/>	

<sup>1</sup> The full and correct name as approved by the Registrar General Department.

<sup>2</sup> Listed Businesses and Non-Regulated Financial Institutions.

I, ....., (name of the Applicant) hereby certify that I am the Compliance Officer/Alternate Compliance Officer for the entity described in Section 1 above and the information provided herein are true and correct to the best of my knowledge, information and belief.

.....  
Signature

.....  
Date

