

CONFIDENTIAL



GOVERNMENT OF THE REPUBLIC OF TRINIDAD AND TOBAGO

FINANCIAL INTELLIGENCE UNIT OF TRINIDAD AND TOBAGO MINISTRY OF FINANCE



FOR OFFICIAL USE ONLY	
Form ID No.	FIU-001
casekconnect Registration No.	

CaseKconnect (e-filing) REGISTRATION FORM FOR COMPLIANCE OFFICERS

An Applicant applying to be registered in the CaseKconnect (e-filing) system either as a Compliance Officer or an Alternate Compliance Officer with the Financial Intelligence Unit of Trinidad and Tobago ("the FIUTT"), must complete **BOTH** sections of this registration form. Please sign and upload to CaseKconnect.

An Applicant who has been approved as a Compliance Officer or Alternate Compliance Officer by a Supervisory Authority other than the FIUTT e.g. the Central Bank of Trinidad and Tobago or the Trinidad and Tobago Securities and Exchange Commission must append a letter of approval and/or appointment from the said Supervisory Authority and a valid form of picture identification issued by the Government of Trinidad and Tobago.

SECTION 1: PARTICULARS OF THE FINANCIAL INSTITUTION OR LISTED BUSINESS

Name of Financial Institution or Listed Business¹:	
Address (line I):	
Address (line II):	
Address (line III):	
Address (line IV):	
Telephone I:	
Telephone II:	
Facsimile:	
E-mail:	
Website:	
FIUTT Registration No. (if registered with the FIUTT)²:	
Nature of Business activity:	

SECTION 2: PARTICULARS OF THE APPLICANT [COMPLIANCE OFFICER OR ALTERNATE COMPLIANCE OFFICER]

Title (Mr, Miss, Ms, Mrs):		
First Name:		
Other names:		
Last Name:		
Gender:		
Position at Financial Institution/ Listed Business:		
Telephone (Direct):		Extension:
Telephone (Mobile) (Optional):		
E-mail:		
Mailing Address (if different from Section 1 above):		
Attachments:	CBTT approval: <input type="checkbox"/> TTSEC approval: <input type="checkbox"/> Valid Picture ID: <input type="checkbox"/>	

¹ The full and correct name as approved by the Registrar General Department.

² Listed Businesses and Non-Regulated Financial Institutions.

I,, (name of the Applicant) hereby certify that I am the Compliance Officer/Alternate Compliance Officer for the entity described in Section 1 above and the information provided herein are true and correct to the best of my knowledge, information and belief.

.....
Signature

.....
Date